Seismic Evaluation of Reinforced Concrete Moment Frames Retrofitted with Steel Braces Using IDA and Pushover Methods in the Near-Fault Field

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ABSTRACT

One of the methods for seismic retrofitting in reinforced concrete structures is the use of steel braces. In this paper, the effect of concentric and eccentric bracing systems on the seismic performance of dual reinforced concrete building systems was investigated under seven near-fault earthquake records. Following this, two reinforced concrete frames with 10-story and 5 spans were designed and analyzed by means of the incremental dynamical analysis (IDA) method where the braces were placed in the 1st and 5th spans. The results indicated that the bearing capacity of the reinforced concrete frame by using CBF and EBF braces increases up to 2.3 and 2 times, respectively. The use of EBF brace in a reinforced concrete frame reduces the amount of the base shear applied to the structure up to 7 times compared with the CBF frame. Approximately, the displacement of the roof in the EBF frame is less than the CBF frame. Furthermore, the ductility of the EBF frame against earthquake records causes an increase in the performance level of structure to the immediate occupancy (IO).

1. Introduction

Seismic retrofitting is the modification of existing structures to make them more resistant to seismic activity, ground motion, or soil failure due to earthquakes. This goal may be achieved by adopting one of the following strategies like By reducing the seismic demands on members and the structures as a whole, By increasing the member capacities Stiffness, strength and ductility are the basic seismic response parameters taken into consideration while retrofitting. However, the choice of the technique to be applied depends on locally available materials and
technologies, cost considerations, duration of the works and architectural, functional and aesthetic considerations/restrictions. Seismic retrofitting schemes can be either global or local, based on how many members of the structures they are used for global (Structural level) retrofit methods include conventional methods (increase the seismic resistance of existing structures) or non-conventional methods (reduction of seismic demand). In reinforced concrete buildings with moment frame and a shear wall needing reinforcement, one of the simplest methods requiring less damage to concrete surfaces and with faster runtime and better economic efficiency than other methods, is using steel braces.

Two conventional methods are used for bracing reinforced concrete frames. In the first method, which is efficiently used in important structures, the steel brace is first placed inside a steel frame, and then the brace and steel frame set is mounted inside the reinforced concrete frame with bolt and epoxy. In the second method, which is simpler, the steel brace is connected directly to the reinforced concrete frame by a metal crown or sheet and bolt. The second method is used in this paper.

In this paper, in order to investigate the nonlinear behavior of frames, reinforced concrete retrofitted with concentric (CBF) and eccentric (EBF) steel braces is used.

2. History of Research

The study of reinforced concrete frames retrofitted by steel bracing is a relatively new topic and limited studies have been conducted in this field.

In 1990, Gould and Lee investigated the seismic strength of reinforced concrete retrofitted by concrete ductile steel braces [1]. In this study, a two-story reinforced concrete frame damaged by the Mexican earthquake of 1985 was reinforced and constructed by steel braces and tested under reciprocating loads. The most important result of this experiment was the stability, the widespread hysteresis loop, and the high formability of the frame.

In 1994, Nateghi Elahi conducted a study on the seismic reinforcement of an eight-story reinforced concrete structure with steel braces. In this research, information was provided on reinforcement methods and considerations used to strengthen the building for lateral and vertical loads [2].

In 1995, Maheri and Sahebi experimentally investigated the reinforced concrete frames with steel brace. For this study, four samples of the frame were fabricated with one forth scale and tested for cyclic loading. The results of this study showed that the final failure of the frame and the destruction of the stretched bracing are dominant on the frame behavior [2-3].

In 1997, Haji Ghaffari studied the interaction of steel frame and brace in reinforced concrete structures to withstand lateral forces. In this research, the effect of X and K shaped steel braces was investigated on retrofitting the bending frame of a reinforced concrete without a shear wall. The results of this study showed that when using steel bracing in a reinforced concrete frame, 0.1Fy allowable stress should be used to design steel braces, whereby braces can absorb 75% of the lateral force [4].

In 2000, Kheyroddin studied the mixed use of two shear-wall and steel-bracing systems to retrofit existing reinforced concrete structures. The results of this study showed
that the increase in the area of braces is effective to a certain extent on the behavior of the structure and after a certain limit, it will not play a specific role in the behavior of the structure and shear absorption. The use of a combination of bracing and shear walls also indicated better system behavior [5].

In 2001, kheyroddin and Shamkhali carried out a survey of eccentric braces behavior in existing reinforced concrete frames. The results of the study showed that eccentric bracing for 5-story reinforced concrete buildings was useful in all floors, while for 10 and 15-story buildings, the eccentric braces were useful in the lower floors structures up to $e/L<0.5$ ($e$ is the length of the connecting beam and $L$ is the length of frame span) and creates a negative shear in the last floors. The results also showed that the ratios $0 < e/L < 0.25$ is the best choice in terms of decreasing earthquake force and lateral displacements in all three types [6].

Maheri and Hadijpour, in 2003, arranged a laboratory program on straight cross-linked braces connected to the corners of the frame. In this research, they investigated the method of bolting and binding sheets linked to concrete members and then welding the Gusset sheet to the beam and column joint sheets in three forms. Their research showed that joining with hooked screws and planted in concrete and screws stretched to the other side of the member and supported by another sheet on that side, fitted well and increased stiffness. Also, linking method by creating concrete chamfer in the corner of the frame has less hardness than the other two methods, and it is not recommended to use it in view of the performance problems [7].

In 2008, Masoumi and Tasnimi explored the details of direct joints of bracing directly to the concrete frame. To investigate the seismic behavior of reinforced concrete frames retrofitted with steel braces, a test program consisting of 8 concrete frame samples with a scale of 1 to 2.5 with identical details were designed. The samples consisted of two retrofitted frames as control of the samples and six braced frames and retrofitted using 5 types of details in the connection between the frame and the brace. By reviewing, they found that among the five types of details of braces attached to the frame, the connection with the bolts and nuts increased to the beam and column increased the hardness of the frame, so that it could be claimed that this model is suitable for short-to-medium buildings. The bolt and nut connection to the column does not have much resistance and the loss of resistance is noticeable and can only be used to increase in the early stages and such a detail does not seem appropriate. The attachment pattern in the form of a jacket without a glue is not suitable due to the slippage of the steel cover, but when the jacket is attached to the frame by the adhesive, as well as when the connecting element of the steel brace and the frame are inserted in an angle in the concrete, the frame performs better and absorbs more energy [8].

In 2010, Dominguez and Clonga used a nonlinear static method to evaluate the behavior of a dual system ductile concrete moment frame and a special concentric bracing system. These researchers designed frames from 4 to 24 floors in a capacity-based design based on Mexico earthquake records. The design of bending frames was carried out for different contributions of the base shear (25, 50 and 75%) and the bracing system was designed for the rest of the earthquake force. Based on this research, the design method was suitable and the frames performance was appropriate for the case
where the moment frames and braces were designed individually for earthquake forces [9].

In 2013, Masoumi and Absalan studied the interaction between the concrete moment frames bracing system in a dual system. The results of this study showed a very good interaction between the two systems and the excellent performance of the dual system [10].

By studying the previous researches on the use of steel bracing in concrete frames and considering existing gaps, this study examined two 10-story reinforced concrete frames retrofitted with CBF and EBF braces. Each frame is subjected to seven earthquake records in the near-fault zone of varying intensity, and the displacement, drift, the base shear, and frames performance level will be compared.

3. Retrofitting methods for reinforced concrete moment frames with steel braces

In retrofitting of concrete structures, the method of connecting steel brace to the concrete frame is considered as one of the most important items, so that the good function of bracing depends on how it is connected. The brace is connected to the reinforced concrete frame with both two methods direct and indirect [11].

3.1. Braced steel frame enclosed in the concrete frame (indirect connection method)

One of the ways to retrofit RC frames against lateral forces, and especially the earthquake, is the use of steel braces. Researchers on the retrofitting of such structures have begun since the early 80's and in most cases, bracing has been indirectly used by a steel frame enclosed in a concrete frame [11].

In the indirect method, the braces are placed inside a steel frame and the steel frame is attached to the reinforced concrete frame in two ways. In the first method, if the concrete surface of the beam and the column of the concrete frame is flat and smooth, the steel frame is attached directly to the reinforced concrete frame by an epoxy or resin adhesive (Fig. 1.a). In the second case, a gap between the concrete and steel frames is initially created. Then, a series of bolt and slab reinforcement is planted inside the reinforced concrete beam and column. A series of slats or reinforcements are also welded to the steel frame. Then, in place of the distance, a diphthong or spiral rebar is placed and finally, the gap is filled with grout or expanding mortar (Fig. 2.a). This action will increase the frame's strength significantly. This method is more suitable for concrete frames with lower concrete characteristic strength [11].
3.2. Direct Connection Method

In this method, steel braces are connected to the reinforced concrete frame directly. This method is applied using either sheet and bolt or the use of a collar (jacket) and is used more in the interior. An example of the use of steel bracing in a reinforced concrete frame is shown in Fig. 2 [11].

Due to the applicability of the design, the dimensions and spans are real and structures are considered symmetrical. The use of the residential building and dead floor load, the partition equivalent load and the living load of floors and the ceiling are considered to be 650, 150, and 200 kg/m², respectively.

4. Details of the frames and design

In this study, two 10-story reinforced concrete frames with five spans of 4 meters and a height of 3 meters are considered to be retrofitted by concentric (CBF) and eccentric (EBF) steel bracing in the first and last spans. Figure 3 shows the overall view of reinforced concrete frames retrofitted with steel braces.
The compressive strength of the concrete frame 280 kg/cm² and the yield strength of the main and rebar are 3000 and 2400 kg/cm², respectively. The fourth edition of Iranian seismic code 2800 has been used for loading and a quasi-static method for earthquake load, and first, the total base shear is calculated and then distributed in the floors in proportion to weight. For the design of reinforced concrete members, the ACI Code, and the AISC Code for steel members have been used, respectively. The soil considered in this study is of type II.

For design, all frames were first designed in ETABS 2015 software, and after determining the sections of the beams, the columns were analyzed and evaluated in OpenSees software using a brace (UNP section type).

The details of sections used in the design of frames are shown in Table 1.

<table>
<thead>
<tr>
<th>Story Number</th>
<th>Column Section</th>
<th>Beam Section</th>
<th>Brace Section</th>
</tr>
</thead>
<tbody>
<tr>
<td>1-2-3</td>
<td>80*80</td>
<td>80*70</td>
<td>2UNP160 2UNP180</td>
</tr>
<tr>
<td>4-5-6</td>
<td>70*70</td>
<td>70*60</td>
<td>2UNP140 2UNP140</td>
</tr>
<tr>
<td>7-8-9-10</td>
<td>60*60</td>
<td>60*50</td>
<td>2UNP120 2UNP120</td>
</tr>
</tbody>
</table>

5. Modeling verification

To control the accuracy and make sure of the modeling and analysis process of frames, a one-story and one-span frame were modeled and verified with a frame tested by Masoumi and Tasnim in 1997 [8].

208 four-noded elements were used for modeling of desired moment frame where this element is suitable for beam members.

The earthquake forces were applied to a structure in 30 stages. Due to the smooth stress behavior of the frame, each element is included in only one layer. In general, due to the change in the thickness of columns and beams with foundation, 2 layers of concrete have been used for the foundation. The height of the frame is 100 cm and the opening of the frame is 180 cm. The compressive strength of concrete used was 250 kg/cm².

The geometric details and the method of reinforcing of the one-span frame tested by Masoumi and Tsennimi [8] are presented in Fig. 4.
After analyzing the structure, the comparison of numerical and experimental load-displacement plots for frames was presented in Fig. 5.

As can be seen, the results are in good agreement.

For example, the experimental and numerical ultimate loads were equal to 15/4 and 14/4 KN, respectively. The ultimate load, obtained in an experimental program, were 7% higher than those in the numerical model.

In the modeling of the frame elements (beam and column), a non-linear beam-column element with a strand cross-section has been used, which instead of the plasticity of the material at certain points of the structure (such as points in the beam, close to the column), considers the plasticization of materials distributed in the whole length of the element. In this research, the section of each concrete element consists of three sections of rebar, unenclosed concrete, and enclosed concrete. The number of Gaussian points should also be introduced for integrating along each element, which is considered to be 18 in the modeling performed in this study. For modeling the steel behavior of the bars, materials of Steel02 have been used (Fig. 6.a), and also Concrete01 materials for both enclosed (core) and unenclosed (coating) concrete (Fig. 6.B) [12]. The strain-strain curve of the enclosed concrete is determined according to the moderator model [13].
6. Results of the analysis of pushover frames

The results of the pushover analysis obtained from the frames are shown in Fig. 7. As you can see, the slope of the linear area of reinforced concrete moment frames retrofitted with CBF and EBF steel braces is more than the reinforced concrete bending frame. Also, the yield capacity of the reinforced concrete moment frame is 20 KN and the yield capacity of the CBF and EBF frames is 55 and 60 KN, respectively. The maximum capacity of the concrete frame and the CBF and EBF frames are also 58, 135, and 120 KN, and from this value afterward, the structure begins to crack until it is destroyed. With the reinforcement of concrete frame with the help of metal braces, the amount of frame displacement was reduced.

7. Non-linear analysis of frames

To achieve IDA analysis, 7 earthquake records in near fault-zone were considered according to table 2 in the peer website of the University of Berkeley.

It should be noted that earthquakes whose occurrence distance are less than 15 km from the record station, is a near-fault field earthquake, and for distances exceeding 15 km, the earthquake is considered as the distant-fault area one. Consequently, two 10-story concrete frames with five spans retrofitted by the CBF and EBF metal braces in the first and last spans were subjected to the 7 Earthquake records under the Increasing dynamic analysis (IDA). The finite element analysis was performed by assuming the FiberSection model.

<table>
<thead>
<tr>
<th>Table 2: Specifications of the records selected for the IDA analysis</th>
</tr>
</thead>
<tbody>
<tr>
<td>PGA (g)</td>
</tr>
<tr>
<td>---------</td>
</tr>
<tr>
<td>0.909</td>
</tr>
<tr>
<td>0.390</td>
</tr>
<tr>
<td>0.288</td>
</tr>
<tr>
<td>0.538</td>
</tr>
<tr>
<td>0.419</td>
</tr>
<tr>
<td>0.349</td>
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<tr>
<td>0.300</td>
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</table>
Each earthquake characterizes the site where the earthquake occurred, so the accelerometers used should be scaled according to the range of the study area. In order to scale the accelerometers, the accelerometers corresponding to the site conditions should be corrected so that their range corresponds to a standard range for a specific level of risk within a period of 0.1 to 4 seconds. For this purpose, the standard design range is plotted in a system for the risk level of 1 in regions with different seismicity with the desired seismic response range, and then the scale factor is determined in such a way that the area under the curve of the earthquake response approximately matches with the design range within 0.1 to 4 seconds. The acceleration response range graph of all accelerometers considered along with their mean values are shown in Fig. 8.

![Figure 8: Acceleration response range of records and their mean value](image)

8. Results and discussion

After analyzing the structure in the OpenSees software, the drift curve for each earthquake record is shown in Figures 9.a to 9.c. The comparison of lateral drift with maximum allowable drift based on Iranian seismic code 2800 where is equal to 0.02 H (height of structure) for buildings with 5-story or more was indicated in Fig. 9. As shown in this Fig., by using the bracing system in reinforced concrete building all drifts were placed in within the allowable range.
As shown in Fig. 9.a, in general, under the earthquake record of chuetsuoki0909g, the drift of the frame with an EBF brace on all floors was more than the drift of the frame with a CBF brace, so that the largest drift has occurred on the seventh floor. The seventh-floor drift of the EBF frame is approximately 1.6 times the size of the CBF frame. Thus, in the earthquake record of the chuetsuoki0909g, the EBF frame is more ductile. In both frames from the seventh to tenth floors, the amount of drift is reduced, which this value is much higher in the EBF frame.

As shown in Fig. 9.b, under the record of the earthquake elmayorcucapah0538g, the first-floor drift in both frames was approximately equal, but from the second floor it grew up and then in the EBF and CBF frames of the seventh and ninth floors afterward, the trend is decreasing. The largest amount of drift in the EBF frame is roughly 1.45 times the largest amount of drift on the CBF frame. On the tenth floor, the amount of drift in the CBF frame is less than the EBF frame, although this is the opposite in the earthquake record of chuetsuoki0909g. As can be seen in Fig.
9.c, the results of the earthquake record of elmayorcucapahcerroprieto0288g are slightly different from the two previous records. The CBF frame drift is more than the EBF frame up to the fourth floor and is reversed from the fifth to ninth floors, and again on the tenth floor, the drift of the CBF frame has become more than the EBF frame. Maximum drift occurred in CBF and EBF frames in the seventh floors, so that this value in the EBF frame is approximately 1.5 times of the CBF frame.

As shown in Fig. 9.d, under the earthquake record of elmayorcucapahriito039g, to the fifth floor, almost the drifts of the CBF and EBF frames are equal, but in the upper floors, the EBF frame drift is larger so that it reaches its maximum value on the eighth floor. The maximum drift of the EBF frame is about 1.5 times the maximum drift of the CBF frame, but they do not differ much on the tenth floor.

According to Fig. 9.e, under the lomaprietagilroyarray0419g earthquake record to the sixth floor, the drift of the frames is equal. The maximum drift occurred in the frames on the eighth floor and the drift of the EBF frame is about 1.3 times of the CBF frame.

According to Figure 9.f, under the record of the morganhillgilroyarray0349g earthquake in the CBF frame, with increasing floors, the drift does not change much and rises upright. However, in the EBF frame, the maximum drift occurred on the first floor, which is about 4 times the size of the CBF frame. Also, the drift of the EBF frame is more on all floors.

According to Figure 9.g, the northwestchina3jiaoshi03g earthquake record has the largest drift of frames on the 9th floor, which this value in EBF frame is approximately 1.1 times the value of the CBF frame.
d) Earthquake severity-roof displacement curve under the elmayorcucaphriito039g record

e) Earthquake severity-roof displacement curve under the lomaprietagilroyarray0419g record

f) Earthquake severity-roof displacement curve under the morganhillgilroyarray0349g record

g) Earthquake severity-roof displacement curve under the Northwestchina3jiashi03g record

According to Figure 10.a, under the record of the chuetsuoki0909g earthquake with different severities, we can say that to the earthquake intensity of 0.8g, EBF and CBF frame roofing displacements increase and reach to 0.37 meters. From the earthquake intensity of the 0.9g to 1.5g, the roofing displacement did not change much in the CBF frame but reduced on the EBF frame. With an appropriate approximation, it is possible to say that the roof displacement of the two frames is equal in the intensity of 1.5g. With regard to Fig.10.b, under the earthquake record of elmayorcucapah0538g at all intensities, the displacement of the roof of the EBF frame was more so that when it reaches to the intensities of 0.5g and 1.5g, it is about 1.3 times the displacement of the CBF frame.

With respect to Figures 10.c and 10.d, it can be said that the performance of the CBF and EBF frames is almost equal to the elmayorcucapahcerroprieto0288g and elmayorcucapahriito039g earthquake records. So that to the intensity of 0.5g, the roof displacement was increasing and then has not
changed much. The EBF frame has shown a more smooth behavior than the CBF frame. According to Figure 10.e, under the record of the earthquake lomaprietagilroyarray0419g with different intensities, up to the intensity of 0.4g, displacement of the roofs of the frames are equal and reach to the value of 0.34 with an equal slope. But from the intensity of 0.5g to 1.5g, the CBF frame's roof displacement is more than the roof displacement of the EBF frame, and it traverses the graph vertically and with slight changes. Finally, at the intensity of 1.5g, the amount of roof displacement in the EBF frame is reduced.

According to Fig. 10.f, under the morganhillgilroyarray0349g earthquake record, the displacement of the roof of the CBF frame increases to the 0.4g intensity and then decreases sinusoidally. However, the displacement of the roof of the EBF frame increases to the 0.3g intensity and then shifts more smoothly and with greater steps than the CBF frame in a sinusoidal manner. Ultimately, at 1.5g intensity, the CBF frame roof displacement is about 2 times that of the EBF, indicating the optimal performance of the EBF frame against earthquakes.

According to Fig. 10.g, it can be said that under the northweschina3jiashi03g earthquake record, the displacement of the roof of the two frames is almost identical and is steadily increasing. Finally, the roof displacements reach to about 0.35 meters.

Consequently, according to the results obtained from the Figures 10.a to 10.g, it can be stated that, up to the earthquake intensity of the 0.4g, the displacement of the roof of the two frames is equal, and then the EBF frame acts with a more smooth behavior than the CBF frame. Also, due to the fact that in the CBF frames, the roof displacement varies greatly and have fluctuating behavior, hence it has a lower level of safety and performance than the EBF frame against earthquake.

The comparison of the base shear in reinforced concrete moment frame with CBF and EBF braces were presented in Fig. 11. The columns with Nos. 1-6 are for the first story because frames were made 5 spans.

As shown in Fig. 11, the use of EBF brace in reinforced concrete moment frame can decrease the base shear up to 7 times. Therefore, reinforced concrete buildings with EBF brace have the suitable performance compared to CBF brace. According to the assumptions considered, the use of the bracing system for retrofitting reinforced concrete moment frame increase the base shear.

9. Comparison of frames performance levels

In order to evaluate the failure rate and performance level of each frame, two failure indicators are calculated based on the relative displacement of the frame, and the frame performance levels are compared.
The vulnerability index based on the relative displacement of the floor was presented by Suzan in 1981, which is as follows:

\[
DP = 25 \left( 2 \times \frac{\delta}{H} - 1 \right)
\]  

(1)

In this relation: \(H\) is the height of the floor, \(\delta\) is the floor relative displacement and \(D_P\) is the percentage of damage.

The value of \(\frac{\delta}{H} < 1\%\) shows non-structural damage (IO) and \(\frac{\delta}{H} > 4\%\) is unrecoverable damage (LS) and \(\frac{\delta}{H} > 6\%\) shows structural failure (CP) [14].

Also, one of the most popular indicators in the category of general indicators of the structure is the maximum relative displacement index calculated from the following equation:

\[
D_{IDR} = \frac{\Delta_m}{H}
\]  

(2)

Where \(\Delta_m\) is the maximum displacement of the roof (corresponding to the yield point) and \(H\) is the height of the structure. Table 3 shows the different functional levels of the structure (based on FEMA356 instruction) and based on the relative displacement damage index.

<table>
<thead>
<tr>
<th>Functional level</th>
<th>Limitation of the ratio of deformation to floor height (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>IO (immediate occupancy)</td>
<td>0.7</td>
</tr>
<tr>
<td>LS (life safety)</td>
<td>2.5</td>
</tr>
<tr>
<td>CP (collapse prevention)</td>
<td>5</td>
</tr>
</tbody>
</table>

Therefore, according to the results obtained from the performance levels of each of the frames under 7 different earthquake records, the CBF and EBF frames are at the level of IO and have the least damage to the frame.

**10. Conclusion**

In this paper, two reinforced concrete moment frames with a number of stories and spans of respectively ten and five were considered, which were retrofitted in their first and fifth spans with the use of CBF concentric steel frames and eccentric (EBF) steel bracing. Each of the frames was subjected to seven near-fault earthquake recordings, and the amount of drift, roof displacement and the base shear of each one were compared with each other, which yielded the following:

- The maximum drift of EBF and CBF frames was 0.025 and 0.007 respectively. Also, the minimum roof drift was 0.01 and 0.008 for these frames, respectively.

- In the eighth floor, each CBF and EBF frames reached its maximum. So the eighth floor was a sensitive and important floor.

- The roof displacement of the CBF and EBF frames is the same to 0.5 g earthquake intensity and displaces up to about 0.35 meters. But in higher earthquake intensities, there was not much change in the displacement of frame roofs, but the EBF frame showed a more smooth behavior.

- The use of steel bracing in the reinforced concrete moment frame reduces the base shear value up to 7 times when used with CBF steel braces.

- After retrofitting the reinforced concrete moment frame by using CBF and EBF steel
braces, the performance level of the frames was within the limits of the immediate occupancy (IO), which indicates the proper reinforcement of these frames using braces.

References


