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## Selection of Optimal Intensity Measure for Seismic Assessment of Steel Buckling Restrained Braced Frames under Near-Fault Ground Motions

## E. Javadi<sup>1</sup> and M. Yakhchalian<sup>1\*</sup>

1. Department of Civil Engineering, Qazvin Branch, Islamic Azad University, Qazvin, Iran

Corresponding author: m.yakhchalian@qiau.ac.ir

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#### ABSTRACT

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Buckling restrained braces (BRBs) have a similar behavior under compression and tension loadings. Therefore, they can be applied as a favorable lateral load resisting system for structures. In the performance-based earthquake engineering (PBEE) framework, an intermediate variable called intensity measure (IM) links the seismic hazard analysis with the structural response analyses. An optimal IM has desirable features including efficiency, sufficiency and predictability. In this paper, the efficiency and sufficiency of some traditional, cumulative-based, and advanced scalar IMs to predict maximum interstory drift ratio (MIDR) demand on low- to mid-rise steel structures with BRBs, under near-fault ground motion records having forward directivity, are investigated. The results indicate that most of the IMs contemplated are not sufficient with respect to source-to-site distance (R), for predicting MIDR. It is also demonstrated that decreasing the strain hardening ratio decreases the efficiency of the IMs. In addition,  $IM_{M(\lambda=0.5)}$  and  $Sa_{avg}$  are more efficient and also sufficient with respect to pulse period  $(T_p)$ , for predicting MIDR demand on the low-rise steel BRB frames under near-fault ground motions, when compared with the other IMs. In the case of mid-rise structures, PGV and  $IM_{M(\lambda=0.33)}$  are selected as optimal IMs. As a result of the higher efficiency and sufficiency of the selected optimal IMs, the obtained fragility curves calculated applying these IMs, are more reliable in comparison with the fragility curves calculated using other IMs.

## 1. Introduction

Assessing the risk to a structure from future earthquakes requires the estimation of both the probability of occurrence of future earthquakes (seismic hazard) and the resulting structural response. Currently, nonlinear dynamic analyses are being applied as a common strategy in order to evaluate the seismic demands on structures under earthquake excitations. Consequently, to have more reliable and realistic structural seismic assessments, it is necessary to

investigate the properties of ground motions that are strongly related to the structural response. According to performance-based earthquake engineering (PBEE) framework [1], an intermediate variable which links the seismic hazard and structural response analyses is termed intensity measure (IM) [2].Specifically, an IM demonstrates the strength of a ground motion and quantifies its effect on structures. The desirable features of an optimal IM are introduced as efficiency, sufficiency, predictability and [3,4]. Efficiency is the ability of an IM to predict the structural seismic response with small dispersion. In fact, efficiency of an IM express the positive interpretative power of the IM to predict the structural seismic response, and causes a reduction in the number of non-linear dynamic analyses required to achieve a given standard error [5]. The ability of an IM to render the structural response conditionally independent of the other ground motion characteristics such as magnitude (M), source-to-site distance (R), and in near-fault ground motions the period of pulse  $(T_p)$ , is termed sufficiency. Predictability is defined when the IM has a reliable ground motion prediction equation (GMPE). In fact, applying an optimal IM increases the reliability of seismic performance assessments [6].

Forward directivity and fling step are two well-known phenomena which have been observed widely in pulse-like near-fault ground motions [7–10]. When the rupture propagates toward a site at a velocity close to the shear wave velocity, forward directivity occurs and causes a single large long-period two-sided pulse at the beginning of the record, which contains most of the input energy from the rupture process. Generally, the long-period pulse is expected to occur in the fault-normal direction at sites in the

proximity of an active fault, where the rupture is propagating toward the site. Fling step is the permanent static displacement in the fault-parallel direction, for strike-slip faults, or in the fault-normal direction for dip-slip faults. Fling step generates a onesided velocity pulse [11]. As mentioned by Tothong and Cornell [7], the two-sided pulse caused by the forward directivity is more damaging than the one-sided pulse caused by the fling step. Put it differently, forward directivity may lead to severe damages to at near-fault regions, buildings when compared with fling step. Many studies have been conducted in order to inquire the seismic performance of structures under near-fault ground motions (e.g., [7–11]), and most of them showed that the structural responses are significantly affected by the period of the pulse  $(T_p)$  existing in these types of ground motions.

Investigating the past earthquakes, such as 1994 Northridge and 1995 Kobe events, proved that conventional concentric braces, under seismic loading, undergone large deformations in the post-buckling range, leading to large reversed cyclic rotation at the plastic hinges formed in the brace members, and in the connections at either end [12,13]. Therefore, this type of structures suffered extensive damage, and extensive repair cost and upgrading works were needed. As an alternative. buckling restrained braces (BRBs) can be used as an effective dissipating energy system in structures. As a result of their stable tension-compression hysteretic cycles, this type of braces has been studied and experimented by many researchers in the seismic protection and retrofitting of structures [14–16].

In consonance with the definition of the optimal IM, application of an optimal IM for

seismic performance assessment of structures increases the reliability of the assessments. Hence, studies for proposing and also selecting optimal IMs for predicting different engineering demand parameters (EDPs) in different structural systems are continued (e.g., see [4-8,17-23]). It is note-worthy to mention that another method for reliable seismic performance assessment of structures is using ground motion selection methods (e.g., see [24-26]). In this research, the and sufficiency efficiency of some conventional and advanced IMs for reliable prediction of maximum interstory drift ratio (MIDR) demand, as one of the most prevalent EDPs, on steel BRB frames under near-fault ground motions having forward directivity were investigated. Subsequently, optimal IMs for reliable seismic assessment of MIDR demand on the low- to mid-rise steel BRB frames were selected.

#### 2. Studied IMs

In this study, in order to determine the optimal IM for reliable prediction of MIDR demand on BRB frames under near-fault pulse-like ground motions, peak IMs including peak ground acceleration (PGA), peak ground velocity (PGV), peak ground displacement (PGD), as traditional IMs, were considered. In addition, pseudo spectral acceleration at the fundamental period of the structures  $(Sa(T_1))$  as a traditional and the most prevalent scalar IM was taken into account. Moreover, two cumulative-based IMs that are Arias intensity (AI) [27] and cumulative absolute velocity (CAV) [28] were considered. These cumulative-based IMs are defined as follows:

$$AI = \frac{\pi}{2g} \int_0^{t_f} a(t)^2 dt \tag{1}$$

$$CAV = \int_0^{t_f} |a(t)| dt \tag{2}$$

where  $t_f$  is the total duration of ground motion; a(t) is the ground motion acceleration at time t, and g is the acceleration of gravity. In addition to the traditional and cumulative-based IMs, five advanced scalar IMs, described in the rest of this section, were pondered. Cordova et al. [18] proposed a two-parameter power-law form scalar IM to account for the period lengthening due to non-linear deformations. They used four moment resisting frames including three composite frames (composed of reinforced concrete columns and steel beams) and one steel frame. Thev demonstrated that applying an elastic spectral shape indicator in addition to  $Sa(T_1)$  can result in an improved IM as:

$$IM_C = Sa(T_1) \times \left[\frac{Sa(2T_1)}{Sa(T_1)}\right]^{0.5}$$
(3)

where  $Sa(2T_1)$  is the spectral acceleration ordinate at the period two times  $T_1$ . By using a set of single degree of freedom (SDOF) systems, Mehanny [19] applied an enhanced broad-range spectral shape-based power-law form to improve  $IM_C$ , and developed a more efficient IM as follows:

$$IM_{M} = Sa(T_{1}) \times \left[\frac{Sa(R^{\lambda}T_{1})}{Sa(T_{1})}\right]^{0.5}$$
(4)

where  $\lambda$  is 0.5 or 0.33; and *R* is defined as the ratio of the lateral strength required to maintain the system elastic to the lateral yielding strength of system. In the present study, *R* was considered as:

$$R = \frac{Sa(T_1)}{g.Y} \tag{5}$$

where *Y* is the ratio of fully yielded strength of structure to seismic weight  $(V_y/W)$ . The other well-known and advanced IM considered is  $I_{Np}$  that was proposed by Bojórquez and Iervolino [20]. They applied a set of structures including non-linear SDOF systems, reinforced concrete and steel moment resisting frames to propose  $I_{Np}$ . This IM applies  $Sa(T_1)$  and a spectral shape proxy named Np as:

$$I_{Np} = Sa(T_1)Np^{0.4}; Np = \frac{Sa_{avg}(T_1...T_N)}{Sa(T_1)}$$
 (6)

where  $Sa_{avg}(T_1...T_N)$  is the geometric mean of spectral acceleration ordinates over the period range of  $T_1-T_N$ ,  $T_N = 2T_1$ . Eads et al. [21] also applied the geometric mean of spectral acceleration ordinates over an increased period range (in comparison with  $I_{Np}$ ) and proposed  $Sa_{avg}$  as a scalar IM. They deliberated nearly 700 moment resisting frame and shear wall structures. In fact, in order to consider both the higher mode and period elongation, they increased the period range.  $Sa_{avg}$  is defined as:

$$Sa_{avg} = Sa_{avg}(\alpha_1 T_1 \dots \alpha_N T_1) =$$
$$= \sqrt[N]{\prod_{j=1}^N Sa(\alpha_j T)}$$
(7)

where  $\alpha_1$  and  $\alpha_N$  are 0.2 and 3.0, respectively. In addition,  $Sa_{avgM}$  that is  $Sa_{avg}$  with a modified period range, i.e.,  $0.2T_1 - \sqrt{R}T_1$ , was considered. A similar form of  $Sa_{avgM}$  was studied by Jamshidiha et al. [17]. They inquired the efficiency and sufficiency of  $Sa_{avgM}$  for collapse capacity prediction of steel moment resisting frames with fluid viscous dampers. Recently, Bojórquez et al. [22] contemplated six moment-resisting steel frames to ameliorate  $I_{Np}$ , and proposed  $I_B$ , which accounts for higher mode effects.  $I_B$  is defined as:

$$I_{B} = S(T_{1})Np^{b_{1}} \prod_{i=2}^{i=\# \text{ modes}} [R^{b_{2}}_{T_{1},Tmi}];$$

$$R_{T_{1},Tmi} = \frac{S(T_{mi})}{S(T_{1})}$$
(8)

where  $S(T_1)$  is a spectral parameter at  $T_1$ , taken from any type of spectrum (i.e., acceleration, velocity or displacement).  $T_{mi}$  is the period of *mode* i of vibration of the structure. Similar to Bojórquez et al. [22], in this study  $b_1$  and  $b_2$  were assumed equal to 0.4 and 0.2, respectively. Moreover, the pseudo acceleration response spectrum was applied to obtain  $S(T_1)$  and  $S(T_{mi})$ , and only the second mode of vibration was considered to define  $R_{T1,Tmi}$ . Table 1 presents the IMs considered in this study. According to the above-mentioned explanations, it can be inferred that investigating the efficiency and sufficiency of the advanced IMs for the prediction of structural seismic response of BRB frames has not been conducted yet.

#### **3. Structural Models**

In this study, it was of interest to design three low- to mid-rise BRB frames in order to investigate the efficiency and sufficiency of the IMs for seismic assessment of this type of structures under pulse-like near-fault ground motions. The examined frames are 3-, 6-, and 9-story 2-dimentional (2D) BRB frames extracted from 3-dimentional (3D) building frames with 3.6 m stories height, and bays width of 7.5 m. All BRBs in braced bays were used in chevron configuration.

	U	
Table 1	Considered IMs	

Considered IMs	Developer				
PGA					
PGV					
PGD					
$Sa(T_1)$					
AI	Arias [27]				
CAV	Benjamin [28]				
$IM_C$	Cordova et al. [18]				
$IM_{M(\lambda=0.33)}$	Mehanny [19]				
$IM_{M(\lambda=0.5)}$	Mehanny [19]				
$I_{Np}$	Bojórquez and Iervolino [20]				
$Sa_{avg}$	Eads et al. [21]				
$Sa_{avgM}$	Jamshidiha et al. [17]				
$I_B$	Bojórquez et al. [22]				

Figure 1 presents the plan and geometry of the considered structures. The examined frames were located in a high seismic site at California with site class D according to ASCE 7-10 [29]. The seismic parameters  $S_s$  and  $S_1$  were pondered 1.875 and 0.6, respectively; the importance factor (*I*) of 1, and the response modification factor (*R*) of 8 were considered based on ASCE 7-10 [29].

The gravity dead and live loads of  $4.1 \text{ kN/m}^2$ and  $1.5 \text{ kN/m}^2$ , respectively, for roof, and the gravity dead and live loads of  $4.1 \text{ kN/m}^2$  and  $2.5 \text{ kN/m}^2$ , respectively, for the other stories, were deliberated. 4.5 kN/m was applied as the dead load of curtain walls on the perimeter beams. The equivalent lateral force procedure based on ASCE 7-10 [29] and the load and resistance factors design (LRFD) load combinations of ASCE 7-10 [29] were applied for designing the structures. The specification for structural steel buildings (ANSI/AISC 360-10) [30], and seismic provisions for structural steel buildings (ANSI/AISC 341-10) [31] were applied for designing the structural elements as well. Iand H- shaped sections, with yield stress of  $F_v=345$  MPa, were taken into account for beams and columns, respectively; and  $F_{v}=262$  MPa was also contemplated for BRBs steel core. Moreover, all of the beamcolumn-to-base, to-column, and brace connections were assumed pinned. Table 2 depicts the fundamental periods, seismic response coefficients  $(C_s)$  and designed sections for structural members.



Fig. 1. (a) Plan of 3-, 6- and 9-story BRB frames, and (b) the geometry of the 2D braced bays.

# 4. Non-Linear Models and Dynamic Analyses

For non-linear dynamic analyses, the structural models were generated with the OpenSees [32] software. In order to model

the non-linear behavior of beams, columns, and yielding segments of braces, non-linear beam-column element with five integration points, using a fiber section with Steel02 [33] material model was applied. The parameters required for Steel02 material model were extracted from the study performed by

Guerrero et al. [34], and similar to their study, cyclic degradation was neglected. 70% of each brace work-point to work-point length was considered as yielding segment. study conducted Based on the bv Mahdavipour and Deylami [35], decreasing the strain hardening ratio in BRBs, increases the residual drift demands of low- and medium-rise BRB frames, and the restoring ability of BRB frames reduces meaningfully. Therefore, as a result of the substantial effects of strain hardening ratio on the seismic performance of BRB frames, two

strain hardening ratios (h) of 0.003 and 0.02 were deliberated in this study for non-linear elements. The P- $\Delta$  effect was considered in analyses. In order to ponder the P- $\Delta$  effect of gravity frames, leaning column technique was devised through adding a gravity low lateral stiffness column that was linked to the main structure. Gravity loads of gravity frames were applied to the leaning column [36]. 5% Rayleigh damping was assigned to the first mode and the mode at which the cumulative mass participation exceeds 95%.

**Table 2.** Fundamental periods, seismic response coefficients  $(C_s)$  and designed sections for structural members

No. of	T(s)	$C_s$	Story No. –	Se	ections	
Stories	1(8)	$\mathbf{C}_{s}$	Story No. –	BRB	Beam	Column
			1	Star BRB-4.5	IPE360	HE220B
3	0.543	0.156	2	Star BRB-3.5	IPE330	HE220B
			3	Star BRB-2.0	IPE300	HE220B
			1	Star BRB-5.0	IPE360	HE400B
			2	Star BRB-5.0	IPE360	HE400B
C	1.061	0.102	3	Star BRB-4.5	IPE360	HE260B
6	1.001	0.102	4	Star BRB-3.5	IPE360	HE260B
			5	Star BRB-2.5	IPE300	HE220B
			6	Star BRB-1.5	IPE240	HE220B
			1	Star BRB-6.0	IPE400	HE500M
			2	Star BRB-5.5	IPE400	HE500M
			3	Star BRB-5.5	IPE400	HE500M
			4	Star BRB-5.0	IPE360	HE450B
9	1.547	0.076	5	Star BRB-5.0	IPE360	HE450B
			6	Star BRB-4.0	IPE330	HE360B
			7	Star BRB-4.0	IPE330	HE360B
			8	Star BRB-3.0	IPE300	HE280B
			9	Star BRB-2.0	IPE300	HE280B



Fig. 2. Pseudo acceleration response spectra of the ground motion records (PGA=1.0g).

To perform non-linear dynamic analyses a set including 48 pulse-like near-fault ground motion records, having forward directivity effect, was applied. As a result of the forward directivity effect, these records contain a single large long-period two-sided pulse at the beginning of the record, which contains most of the input energy from the rupture process. The records have minimum useable frequencies of less than 0.2 Hz, and they were rotated to the fault-normal direction and taken from the PEER NGA database [37]. This ground motion record set was also used by Yakhchalian et al. [8]. The 5% damped normalized pseudo acceleration response spectra (PGA=1.0g) and their median spectrum are highlighted in Figure 2.

To investigate the impact of efficiency and sufficiency of the IMs, non-linear dynamic analyses were performed applying the cloud method [2]. In agreement to this method, non-linear dynamic analyses are performed by using a set of ground motion records with associated IM values. The records are not scaled, or all records are scaled by a constant factor if the unscaled records are not strong enough to lead to the structural response level of interest. Generally, the set of IM values and the associated EDP values, obtained from the non-linear dynamic analyses, are similar to a rough ellipse and called cloud (e.g., see Figure 3). A regression can be applied to this cloud of data to calculate the conditional mean and standard deviation of ln*EDP* given IM as:

$$\ln EDP = a_0 + a_1 \ln IM + e \tag{9}$$

where  $a_0$  and  $a_1$  are the regression coefficients; and *e* is the regression residual. Therefore, the mean value (expected value) of ln*EDP* given a specified IM value (IM=*im*) can be calculated as:

$$E[\ln EDP|IM=im]=a_0+a_1\ln im \tag{10}$$

Assuming a constant variance for e for all the IM values, and Gaussian distribution for  $\ln EDP$  IM, the conditional probability of exceeding an EDP level y given IM=im can be obtained as:

$$G_{EDP|IM}(y|im) = 1 - \Phi\left(\frac{\ln y - (a_0 + a_1 \ln im)}{\sqrt{Var[e]}}\right)$$
(11)

where  $G_{EDP|IM}(y|im)$  is the complementary cumulative distribution function (CCDF) of EDP|IM;  $\Phi()$  is the cumulative distribution function (CDF) of standard Gaussian distribution; and Var[e] is variance of e [2]. Figure 3 shows an example for cloud data, i.e., MIDR versus PGA for the 6-story structure (with h=0.003). In this figure, STD represents standard deviation of e, i.e.,  $\sqrt{Var[e]}$ .

#### 5. Efficiency of the IMs

The ability of an IM to anticipate the structural response with low dispersion is called efficiency. The efficiency of scalar IMs for the prediction of MIDR can be evaluated through applying the conditional standard deviation of lnMIDR,  $\sigma_{lnMIDR|IM}$ . Using an efficient IM reduces  $\sigma_{lnMIDR|IM}$ , and consequently, increases the reliability of the seismic performance assessments of the structures.



Fig. 3. A cloud of *EDP*|IM data (MIDR as *EDP* and PGA as IM).

Having  $\sigma_{\text{InMIDR}|\text{IM}}$  obtained by applying a scalar IM, the standard error (SE) [38] is defined as:

$$SE = \frac{\sigma_{\text{InMIDR}}}{\sqrt{n_s}}$$
(12)

where  $n_s$  is the number of seismic response analyses. Based on Equation 12, it can be inferred that with the same number of analyses, the use of a more efficient IM decreases the SE value. Thus, for reducing the number of analyses and increasing the reliability of structural seismic response assessments, efficiency is one of the desirable features of an optimal IM.

In order to choose the most efficient IM for the prediction of MIDR demand, the values of  $\sigma_{\text{InMIDR|IM}}$  obtained using different IMs should be compared. The IM that is able to predict the structural response (i.e., MIDR) with a lower dispersion can be selected as a more efficient IM. Figure 4 illustrated the comparison between the efficiency of four scalar IMs for predicting MIDR demand on the 3-story structure (with h=0.003). As shown in this figure, using  $Sa_{avg}$  leads to the lowest dispersion (i.e.,  $\sigma_{\text{InMIDR|IM}} = 0.4304$ ), and therefore, it is more efficient than the other IMs.

Table 3 exhibits the results of investigating the efficiency of the IMs for predicting MIDR demand on the structures considered (with two different strain hardening ratios). As it is evident in this table,  $IM_{M(\lambda=0.33)}$ ,  $IM_C$ ,  $Sa_{avg}$  and  $IM_{M(\lambda=0.5)}$  are the most efficient IMs for predicting MIDR demand on the 3-story structure (as a low-rise structure). It is also revealed that *PGV* among the traditional IMs, and  $IM_{M(\lambda=0.33)}$ ,  $Sa_{avgM}$ ,  $I_B$  and  $IM_{M(\lambda=0.5)}$ among the advanced IMs can be chosen as the most efficient IMs for predicting MIDR demand on the 6- and 9-story structures (as mid-rise structures). In fact,  $Sa(T_1)$  as the most prevalent scalar IM cannot efficiently predict MIDR demand on the low- to mid-rise BRB frames under near-fault ground motions, when compared with some of the IMs. As mentioned, above, the effect of strain hardening ratio on the efficiency of the IMs was also inspected. According to Table 3, it can be seen that by increasing the strain hardening ratio decreases the dispersion of the structural response prediction, whereas the order of the efficiency of the IMs does not changed.

<b>Table 3.</b> Results of testing the efficiency of the IMs (i.e., $\sigma_{\text{InMIDR IM}}$ ) for predicting MIDR demand on the
structures considered.

			Struc	cture		
IM	3-st	ory	6-st	ory	9-ste	ory
	h=0.003	h=0.02	h=0.003	h=0.02	h=0.003	h=0.02
PGA	0.6168	0.5563	0.6709	0.5667	0.7865	0.6948
PGV	0.6351	0.5767	0.4588	0.4207	0.4372	0.3827
PGD	0.8727	0.8003	0.7327	0.6645	0.6537	0.5846
$Sa(T_1)$	0.5927	0.5258	0.4794	0.4111	0.5360	0.4667
AI	0.6048	0.5548	0.6130	0.5243	0.6800	0.6102
CAV	0.7522	0.6942	0.6958	0.6115	0.6758	0.6146
$I_{Np}$	0.4627	0.4073	0.4456	0.3857	0.4944	0.4335
$Sa_{avg}$	0.4304	0.3862	0.4530	0.4009	0.4833	0.4363
$Sa_{avgM}$	0.4863	0.4339	0.4190	0.3395	0.4424	0.3772
$IM_C$	0.4262	0.3784	0.4571	0.4057	0.5141	0.4570
$IM_{M(\lambda=0.5)}$	0.4483	0.4003	0.4299	0.3831	0.4549	0.4182
$IM_{M(\lambda=0.33)}$	0.4085	0.3581	0.3823	0.3260	0.4466	0.3876
$I_B$	0.4800	0.4251	0.4290	0.3633	0.4631	0.3992

It is note-worthy to mention that the efficiency of an IM is gauged by the obtained dispersion of the structural capacity or response prediction using that IM. In addition, the severity of the non-linearity of the responses affects this dispersion. For example, Haselton and Deierlein [39] persuaded that the dispersion of collapse capacity of reinforced concrete special moment resisting frames (RC SMRFs) obtained based on  $Sa(T_1)$  is approximately

equal to 0.40. Yakhchalian and Ghodrati [6] manifested that under non-pulse-like ground motions the dispersion of MIDR demand on RC SMRFs obtained based on different IMs can vary between 0.20 and 0.55, depending on the structural height and the severity of the non-linearity of the responses. In the present study, as a result of using the near-fault records that are more severe than far-fault records, the obtained dispersions based on different IMs vary between 0.32 and 0.87.

Knowing that an optimal IM should also be sufficient, the sufficiency of the considered IMs is explored in the next section.

#### 6. Sufficiency of the IMs

#### 6.1. Sufficiency with respect to M and R

The sufficiency of a scalar IM for predicting the structural response means that the distribution of the structural response obtained based on the IM is independent of ground motion characteristics, such as earthquake magnitude (M), source-to-site distance (R), and in near-fault ground motions, pulse period ( $T_p$ ) [3,7,17,21,23]. Since a finite number of analyses are used in order to obtain the distribution of the structural response, sufficiency is one of the main features of an optimal IM. In fact, if the obtained distribution is dependent on the M, R and  $T_p$  values of the ground motion records used, then, the distribution will be biased if the distribution of the M, R and  $T_p$  of the ground motion records applied in the structural response analyses is not the same as that of the ground motions that will occur at the site in the future [3,4]. Using a sufficient IM can decouple the seismic hazard and structural response analyses.



**Fig. 4.** Comparison of the efficiency of some scalar IMs for predicting MIDR demand on the 3-story structure: (a) PGV; (b)  $Sa(T_1)$ ; (c)  $Sa_{avg}$ ; (d)  $IM_{M(\lambda=0.5)}$ .

In order to inquire the sufficiency of the IMs with respect to M and R for predicting MIDR, linear regression can be used between the regression residuals obtained from

Equation 9 and these ground motion characteristics as:

$$E[e] = b_0 + b_1 x \tag{13}$$

where E[e] is the expected value of e;  $b_0$  and  $b_1$  are the estimated coefficients of the linear regression; and x is M or the natural logarithm of R (lnR). Because the linear regression is based on a finite number of observations. testing the statistical significance of  $b_1$  is essential. Therefore, assuming a student's-t distribution for  $b_1$ , the F-test can be applied to test the statistical significance of  $b_1$  [40]. Generally, a p-value of less than 0.05 obtained from the F-test proves that  $b_1$  is statistically significant, which means the insufficiency of the IM,

applied in order to obtain the structural response distribution, with respect to x [3,4]. Figure 5 displays the results of testing the sufficiency of four IMs with respect to M for predicting MIDR demand on the 3-story structure (with h=0.003). In this figure, Res represents the regression residual obtained from Equation 9. As demonstrated in this figure, PGV cannot predict MIDR demand on the 3-story structure independent of M, because the obtained p-value is less than 0.05. In other words, PGV is insufficient with respect to M for predicting MIDR demand on the 3-story structure.



**Fig. 5.** Testing the sufficiency of four IMs with respect to *M* for predicting MIDR demand on the 3-story structure: (a) *PGV*, (b)  $Sa(T_1)$ , (c)  $Sa_{avg}$ , and (d)  $IM_{M(\lambda=0.5)}$ .

Table 4 the results of testing the sufficiency of the IMs with respect to M for predicting MIDR demand on the structures considered. It can be seen that all the IMs are sufficient with respect to M for predicting MIDR demand on the structures considered, except *PGV*, *AI*, and *CAV* that are insufficient (i.e., p-values<0.05) for predicting MIDR demand on the 3-story structure as a low-rise structure.

Figure 6 illustrates the results of testing the sufficiency of four IMs with respect to R for

predicting MIDR demand on the 3-story structure (with h=0.003). As shown in this figure, *PGV* is sufficient with respect to *R* for

PGA

PGV

PGD

 $Sa(T_1)$ 

AI

CAV

 $I_{Np}$ 

Sa<sub>avg</sub>

Sa<sub>avgM</sub>

 $IM_C$ 

 $IM_{M(\lambda=0.5)}$ 

 $IM_{M(\lambda=0.33)}$ 

0.7449

0.0332

0.3871

0.5686

0.0176

0.0134

0.7905

0.1206

0.5953

0.3226

0.1734

0.8601

0.6193

0.0200

0.3556

0.6727

0.0114

0.0117

0.9554

0.0668

0.4481

0.2044

0.1015

0.9468

predicting MIDR demand on the 3-story structure (i.e., p-value>0.05), whereas the other IMs are not.

0.0535

0.6722

0.1719

0.7037

0.6605

0.3177

0.9245

0.3267

0.3001

0.5492

0.1458

0.5296

Table 4. Resu	lts of testin	g the suffici	•	e IMs with ctures consi	•	M for predi	cting MID	R demand on
				Struc	ture			
	IM	3-ste	ory	6-ste	ory	9-st	ory	
		h=0.003	h=0.02	h=0.003	h=0.02	h=0.003	h=0.02	

0.0817

0.4504

0.3605

0.2906

0.9081

0.2396

0.6136

0.7253

0.4824

0.9862

0.3875

0.8178

0.1322

0.3107

0.3636

0.4871

0.6477

0.1582

0.9101

0.4786

0.7982

0.7119

0.2213

0.4711

0.0676

0.9445

0.2195

0.6270

0.7433

0.2367

0.9385

0.4122

0.1364

0.6443

0.1959

0.7431

	$I_B$	0.9278	0.9054	0.5135	0.8135	0.7061	0.9012
2.2 r				_			
1.7		24ln <i>R</i> + 0.033 le=0.7569		1.5	Res = -0.212	2ln <i>R</i> + 0.294 =0.0022	•
		•			p-value	•	••
7(NU 0.7	•	•		R)IS		° °	•
	8	-	°°°°°				0 00
Jo Te -0.3	•	• •	<b>6666666666666</b>				
Residual of In(MIDR) PGV 200 of In(MIDR) PGV 800-8		• •	<sup>°</sup> 000	Residual of $\ln(\text{MIDR}) Sa(T_1) $	•	•	
-1.3	•	•	•	<u> </u>		•	၀ စို စို
-1.8 L 0.2	2	2	20		<u> </u>	0.6	 6
(a)		<i>R</i> (km)		(b)		<i>R</i> (km)	
1		9ln <i>R</i> + 0.152 ==0.0334		] _ [	Res = -0.109		
avg.	p-value	0.0554	00	M(2=0.5	p-value=	0.0431	-0
Residual of $\ln(\text{MIDR}) Sa_{ayg}$ 5.0 0 5.0		<b>0</b> 0	0 8 0	Residual of In(MIDR)///M/(2=0.5)	8		
IWI			ഁൟൣഀ	MID			ိဳ ထို ္ရွိ
al of 1		• • •		of h	c		
npis-0.5			80000	l land			80000
Ĩ	•			Res	•		°°°°
-1 L 0.1	<b>. . .</b>	1	10	」 _1 └ 0.1		1	10
(c)		<i>R</i> (km)		(d)		<i>R</i> (km)	

**Fig. 6.** Testing the sufficiency of four IMs with respect to *R* for predicting MIDR demand on the 3-story structure: (a) *PGV*, (b)  $Sa(T_1)$ , (c)  $Sa_{avg}$  and (d)  $IM_{M(\lambda=0.5)}$ 

Table 5 shows the results of testing the sufficiency of the IMs with respect to R for predicting MIDR demand on the structures considered. It can be seen that only *PGV* can predict MIDR demand on the three structures independently of R. It is note-worthy to mention that *PGV* does not have a higher efficiency for predicting MIDR demand on

the 3-story structure, in comparison with the other IMs, whereas it has an acceptable efficiency for the 6- and 9-story structures. It can therefore be inferred that most of the IMs (including advanced scalar IMs) cannot predict MIDR demand on the structures independently from R, under near-fault pulse-like ground motions.

Table 5. Results of testing the sufficiency of the IMs with respect to <i>R</i> for predicting MIDR demand on
the structures considered.

	Structure						
IM	3-story		6-st	ory	9-st	9-story	
	h=0.003	h=0.02	h=0.003	h=0.02	h=0.003	h=0.02	
PGA	0.0089	0.0135	0.0005	0.0014	0.0005	0.0001	
PGV	0.7569	0.8808	0.7629	0.9915	0.4949	0.4858	
PGD	0.0131	0.0158	0.0280	0.0414	0.0176	0.0214	
$Sa(T_1)$	0.0022	0.0032	0.0010	0.0044	0.0024	0.0010	
AI	0.0728	0.0988	0.0061	0.0167	0.0045	0.0015	
CAV	0.0414	0.0518	0.0070	0.0164	0.0045	0.0021	
$I_{Np}$	0.0059	0.0094	0.0031	0.0126	0.0090	0.0052	
$Sa_{avg}$	0.0334	0.0540	0.0672	0.1606	0.0652	0.0496	
$Sa_{avgM}$	0.0122	0.0195	0.0339	0.0997	0.2133	0.1995	
$IM_C$	0.0191	0.0314	0.0238	0.0685	0.0248	0.0164	
$IM_{M(\lambda=0.5)}$	0.0431	0.0673	0.0430	0.1171	0.0522	0.0467	
$IM_{M(\lambda=0.33)}$	0.0112	0.0183	0.0105	0.0414	0.0291	0.0216	
$I_B$	0.0042	0.0068	0.0026	0.0107	0.0123	0.0075	

#### 6.2. Sufficiency with respect to $T_p$

To investigate the sufficiency of the IMs with respect to  $T_p$ , moving average curve can be applied [41]. The potential bias due to  $T_p$  $(B_{Tp})$ , in structural response prediction, can be expressed by the total area between the moving average curve and the zero residual line. In fact, this area is a proxy for the effect of  $T_p$  that is unaccounted for by the IM. Therefore, an IM is more sufficient with respect to  $T_p$  than other IMs, when the  $B_{Tp}$  value obtained applying the IM is lower than those of the other ones.

Figure illustrates 7 the results of investigating the sufficiency of four IMs with respect to  $T_p$  for predicting MIDR demand on the 3-story structure (with h=0.003). As demonstrated in this figure, applying  $IM_{M(\lambda=0.5)}$  leads to the lowest area between the moving average curve and the zero residual line (i.e.,  $B_{Tp}$ =area=1.811), and therefore,  $IM_{M(\lambda=0.5)}$  has a higher sufficiency with respect to  $T_p$ , in comparison with the other IMs.



**Fig. 7.** Testing the sufficiency of four IMs with respect to  $T_p$  for predicting MIDR demand on the 3- story structure: (a) *PGV*, (b) *Sa*(*T*<sub>1</sub>), (c) *Sa*<sub>avg</sub>, and (d) *IM*<sub>M( $\lambda = 0.5$ ).</sub>

Table 6 presents the results of testing the sufficiency of the IMs with respect to  $T_p$  for predicting MIDR demand on the structures considered. As it is evident, PGD has the lowest sufficiency with respect to  $T_p$  for predicting MIDR demand on the structures, in comparison with the other IMs. In addition, none of the IMs has the highest sufficiency with respect to  $T_p$  for the three considering the two structures strain hardening ratios. It can be also observed that by increasing the height of structure, the sufficiency of the conventional IMs (i.e., PGA, PGV, PGD, and  $Sa(T_1)$ ) and cumulative-based IMs (AI and CAV) with respect to  $T_p$  for predicting MIDR demand on the structures, increases. It should be

mentioned that most of the advanced IMs are more sufficient than the conventional and cumulative-based IMs. In the case of the 3story structure (as a low-rise structure),  $IM_{M(\lambda=0.5)}$  and  $Sa_{avg}$  can be selected as the most sufficient IMs with respect to  $T_p$  for predicting MIDR demand. For the 6- and 9story structures (as mid-rise structures),  $Sa(T_1)$  and PGV are respectively the most sufficient IMs with respect to  $T_p$ , among the conventional and cumulative-based IMs. It is also shown that  $IM_{M(\lambda=0.33)}$ ,  $I_{Np}$  and  $I_B$  can be selected as the most sufficient IMs with respect to  $T_p$  for predicting MIDR demand on the 6- and 9-story structures, considering the two strain hardening ratios.

		the stru	ctures consi	luereu.			
Structure							
IM	3-story		6-st	ory	9-st	ory	
	h=0.003	h=0.02	h=0.003	h=0.02	h=0.003	h=0.02	
PGA	3.2727	3.2342	1.9984	1.4548	1.3834	1.3840	
PGV	7.8658	7.4823	1.8087	1.9850	0.5415	0.6775	
PGD	10.1338	9.4700	4.3174	4.0392	2.7456	2.4348	
$Sa(T_1)$	2.9869	2.7756	1.1414	0.7147	0.7137	0.5770	
AI	5.0306	4.9072	1.2425	1.0569	1.0097	0.9884	
CAV	8.2008	7.7938	2.1123	2.2077	1.2030	0.9282	
$I_{Np}$	2.3034	1.9998	0.7128	0.5713	0.6261	0.4592	
$Sa_{avg}$	2.0166	2.0972	0.7503	1.0473	0.9209	0.8665	
$Sa_{avgM}$	2.3158	1.9869	1.1640	0.7131	1.1911	0.7754	
$IM_C$	2.0893	2.1581	0.7743	1.0411	0.7987	0.6957	
$IM_{M(\lambda=0.5)}$	1.8113	1.7054	0.8071	1.1059	0.9560	0.9708	
$IM_{M(\lambda=0.33)}$	2.8513	2.4702	0.4406	0.6330	0.5906	0.5137	
$I_B$	2.3116	2.0255	1.0220	0.5612	0.5481	0.3426	

**Table 6.** Results of testing the sufficiency of the IMs with respect to  $T_p$  for predicting MIDR demand on the structures considered.

## 7. Selection of optimal IMs

Knowing that an optimal IM requires to be efficient and sufficient to accurately anticipate the seismic response of structures, in the previous sections, efficiency and sufficiency of the IMs deliberated were investigated. As the results show, it is unlikely possible to find an IM that is the most efficient and also sufficient for the three structures. Consequently, the results are divided into two parts belonging to low- and mid-rise structures.

In the case of the 3-story structure (as a lowrise structure), it was depicted that  $IM_{M(\lambda=0.33)}$ ,  $IM_C$ ,  $Sa_{avg}$  and  $IM_{M(\lambda=0.5)}$  are the most efficient IMs for predicting MIDR. The results of testing the sufficiency of the IMs showed that these IMs are sufficient with respect to M. In addition, it was also shown that  $IM_{M(\lambda=0.5)}$  and  $Sa_{avg}$  can be selected as the most sufficient IMs with respect to  $T_p$ . Investigating the sufficiency of the IMs showed that PGV and AI are the only sufficient IMs with respect to R, considering

both the strain hardening ratios. Put it differently, due to their lower efficiency and sufficiency with respect to  $T_p$ , PGV and AI cannot be selected as optimal IMs. Therefore,  $IM_{M(\lambda=0.5)}$  and  $Sa_{avg}$  due to their higher efficiency and sufficiency with respect to  $T_p$ , were selected as optimal IMs for predicting MIDR demand on the low-rise steel BRB frames under near-fault ground motions. As a result of the insufficiency of  $IM_{M(\lambda=0.5)}$  and  $Sa_{avg}$  with respect to R, to have a reliable and realistic prediction of MIDR demand on lowrise steel BRB frames by using these IMs, ground motion record selection for conducting non-linear dynamic analyses is require to be performed pondering R to be compatible with the results of probabilistic seismic hazard analysis.

In the case of the 6- and 9-story structures (as mid-rise structures), it was shown that *PGV* among the traditional and cumulative-based IMs, and  $IM_{M(\lambda=0.33)}$ ,  $Sa_{avgM}$ ,  $I_B$  and  $IM_{M(\lambda=0.5)}$  among the advanced IMs can be selected as the more efficient IMs than the other ones. Investigating the sufficiency of the IMs for the mid-rise structures demonstrated that all

the IMs are sufficient with respect to M. In addition, only PGV is sufficient with respect to R. It is note-worthy to mention that PGVhas an acceptable efficiency for the mid-rise structures. Investigating the sufficiency of the IMs with respect to  $T_p$  displayed that  $Sa(T_1)$  and PGV are the most sufficient IMs with respect to  $T_p$  among the traditional and cumulative-based IMs. In the case of the advanced IMs, it was also exhibited that  $IM_{M(\lambda=0.33)}$ ,  $I_{Np}$  and  $I_B$  can be selected as the most sufficient IMs with respect to  $T_p$ , considering both the strain hardening ratios. Therefore, PGV can be selected as an optimal IM that has acceptable efficiency and sufficiency with respect to M, R, and  $T_p$  for predicting MIDR demand on the mid-rise steel BRB frames under near-fault ground motions. It should be noted that, the advanced IM  $IM_{M(\lambda=0.33)}$  due to its acceptable efficiency and sufficiency with respect to M and  $T_p$  can also be selected as an optimal IM for predicting MIDR demand on the mid-rise steel BRB frames under near-fault ground

motions. We also should mention that, due to the insufficiency of  $IM_{M(\lambda=0.33)}$  with respect to R, to have a reliable prediction of MIDR demand by using  $IM_{M(\lambda=0.33)}$ , ground motion record selection needs to be performed considering R to be compatible with the results of probabilistic seismic hazard analysis.

As mentioned previously, applying optimal IMs makes the structural seismic response assessments more reliable. For example, in the case of the 3-story structure (with a strain hardening ratio of 0.003), using  $Sa(T_1)$  as a traditional IM and  $IM_{M(\lambda=0.5)}$  as an optimal IM results in dispersions of 0.593 and 0.409 in prediction the of MIDR demand, respectively. Regarding Equation 12, using  $IM_{M(\lambda=0.5)}$  instead of  $Sa(T_1)$  can lead to a reduction of 31% in SE. Furthermore, the acceptable sufficiency of  $IM_{M(\lambda=0.5)}$  with respect to M and  $T_p$  makes the assessments independent of M and  $T_p$  of the ground motion records used.



**Fig. 8.** Fragility curves obtained by  $Sa(T_1)$  and  $IM_{M(\lambda=0.5)}$  for the 3-story structure: (a)  $Sa(T_1)$ , (b)  $IM_{M(\lambda=0.5)}$ .

One of the most prevalent applications of IMs in the PBEE is the calculation of the fragility curve for a structure, which proves the probability of exceedance from a specific value of an EDP, or a considered limit state (e.g., see [42–44]). Figure 8 portrayed the fragility curves obtained by  $Sa(T_1)$  and

 $IM_{M(\lambda=0.5)}$ , using Equation 11, for the 3-story structure (with h=0.003). Due to the higher efficiency and sufficiency of  $IM_{M(\lambda=0.5)}$  than  $Sa(T_1)$ , it can be concluded that the fragility curves obtained by  $IM_{M(\lambda=0.5)}$  are more reliable than those obtained by  $Sa(T_1)$ .

It is worth mentioning that, this study focused on the investigation of the efficiency and sufficiency of the IMs for predicting MIDR demand on the steel BRB frames under near-fault ground motions. On that account, an inquiry for selecting optimal IMs for collapse capacity prediction of the considered structures can be conducted as a future work. Moreover, the results of this study were obtained in consonance with an investigation on three regular steel BRB frames. Thus, the efficiency and sufficiency of the IMs may significantly vary if irregular structures are considered.

## 8. Conclusions

In this study, the efficiency and sufficiency of including traditional 13 IMs IMs. cumulative-based IMs (i.e., AI and CAV), and advanced IMs for predicting MIDR on the low- to mid-rise steel BRB frames under near-fault ground motions (having forward directivity) were investigated. To this end, three structures including 3-, 6- and 9-story steel BRB frames considering two strain hardening ratios for steel material model in each structure were deliberated. The results proves that  $Sa(T_1)$  as the most prevalent scalar IM cannot efficiently and sufficiently predict MIDR demand on the low- to midrise steel BRB frames under near-fault ground motions, when compared with some of the IMs considered. It was also of demonstrated that. most the IMs advanced scalar (including IMs) are insufficient with respect to R for predicting MIDR demand on the structures under nearfault pulse-like ground motions. In addition, investigating the effect of strain hardening ratio on the efficiency and sufficiency of the IMs revealed that increasing the strain hardening ratio decreases the dispersion in the structural response prediction (i.e., increases the efficiency), whereas the order of the efficiency of the IMs does not change. However, the order of the sufficiency of the IMs can alternate when the strain hardening ratio varies. it is note-worthy to mention that, most of the advanced IMs are more sufficient with respect to  $T_p$  than the conventional and cumulative-based IMs.

The results revealed that it is unlikely possible to find an IM that is the most efficient and also sufficient for the three structures. On that account, the conclusions are divided into two parts belonging to lowand mid-rise structures. In the case of the 3story structure (as a low-rise structure), due to the higher efficiency and sufficiency of  $IM_{M(\lambda=0.5)}$  and  $Sa_{avg}$  with respect to  $T_p$ , they were selected as optimal IMs for predicting MIDR demand on the low-rise steel BRB frames under near-fault ground motions. It should be noted that, as a result of the insufficiency of  $IM_{M(\lambda=0.5)}$  and  $Sa_{avg}$  with respect to R, to have a reliable and realistic prediction of MIDR demand on low-rise steel BRB frames by using these IMs, ground motion selection for conducting non-linear dynamic analyses require to be performed contemplating R to be compatible with the results of probabilistic seismic hazard analysis. In the case of the 6- and 9-story structures (as mid-rise structures), PGV and  $IM_{M(\lambda=0.33)}$  were selected as optimal IMs for predicting MIDR demand on the mid-rise steel BRB frames under near-fault ground motions. As a result of the insufficiency of  $IM_{M(\lambda=0.33)}$  with respect to R, to have a reliable prediction of MIDR demand on the mid-rise steel BRB frames by using  $IM_{M(\lambda=0.33)}$ , ground motion selection is required to be performed considering R to be compatible with the results of probabilistic seismic hazard analysis.

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